



# **A review of growth and stand dynamics of *Acer pseudoplatanus* L. in Europe: implications for silviculture**

**September 2008**

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## **Citation**

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Hein S, Collet C, Ammer C, Le Goff N, Skovsgaard JP, Savill P (2008) *A review of growth and stand dynamics of *Acer pseudoplatanus* L. in Europe: implications for silviculture*. 52 pp.  
<http://www.valbro.uni-freiburg.de/>

**A review of growth and stand dynamics of *Acer pseudoplatanus* L. in  
Europe: implications for silviculture**

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## 1 **Abstract**

2 Sycamore (*Acer pseudoplatanus* L.) is a widespread but minor species throughout Europe but  
3 there is a growing interest in using it more widely because of its potentially high economic  
4 and ecological values. Silvicultural recommendations for exploiting the potential of the  
5 species to the full should aim at producing high quality timber on short rotations. This can be  
6 achieved in a number of ways including the creation of mixed-species and structurally diverse  
7 stands that will simultaneously increase ecological values. This review synthesises existing  
8 knowledge on growth and development of sycamore that may be used as a basis for  
9 developing silvicultural recommendations. Sycamore regenerates easily, although competing  
10 ground vegetation, damage by browsers and bark stripping by grey squirrels may endanger  
11 production of valuable timber. Existing yield models show that sycamore grows rapidly for  
12 the first 20-25 years and then slows considerably. Because of its relative scarcity, there has  
13 been limited interest in the species for growth model development and this has restricted its  
14 inclusion in forest growth simulators. This review shows that there is currently a lack of  
15 detailed knowledge about the responses of sycamore to various environmental, ecological and  
16 silvicultural factors and this hinders the understanding and management of this valuable  
17 broadleaved tree.

## 18 **Introduction**

19 Sycamore (*Acer pseudoplatanus* L.), the most common European maple, is a valuable species  
20 in many European forests (Spiecker *et al.*, 2008). Interest in sycamore arises from both its  
21 economic and ecological characteristics. It produces potentially valuable timber with a very  
22 hard, fine, even-textured grain and brightly coloured wood (Moltesen, 1958; Grosser, 1998).  
23 It is used widely in the manufacture of furniture, marquetry, veneer, and plywood and, in  
24 some countries, for sawn timber, pulp and fuel (Aaron and Richards, 1990; Nunez-Regueira *et*  
25 *al.*, 1997; DGFH, 1998). The desirable qualities and numerous uses of sycamore wood are  
26 reasons for the high market prices that can be achieved (e.g. Thill and Mathy, 1980;  
27 Whiteman *et al.*, 1991; Soulères, 1997). Sycamore is also one of the fastest growing  
28 broadleaved species when grown on suitable sites. Its rapid growth and potentially high  
29 timber prices make it economically attractive.

30  
31 In ecological terms, sycamore supports a wide range of epiphytes, herbivores and ground  
32 flora (Bingelli, 1993). Its litter improves humus formation and nutrient cycling (Wittich,  
33 1961; Weber *et al.*, 1993; Heitz and Rehfuss, 1999). Maintaining or promoting sycamore  
34 may therefore enhance the ecological values of a stand and contribute to habitat and landscape  
35 diversity (Stern, 1989; Pommerening, 1997; Bell, 2008). Sycamore is also often regarded as a  
36 species that is well adapted to current and also to predicted future climatic conditions in  
37 Central Europe (Kölling and Zimmermann, 2007, Kölling, 2007). For instance in the case of  
38 Germany it is expected to adapt to elevated temperatures and reduced precipitation. The area  
39 suited to sycamore growth is projected to reduce by only 4% as a result of climate change.  
40 Thus, its vulnerability to climate change, at least in Germany, should be minor (Kölling and  
41 Zimmermann, 2007).

42

43 Sycamore is either native to, or has been introduced to most biogeographic zones within  
44 Europe, with the exception of the Mediterranean, Boreal and Alpine. It is found at high  
45 elevations in southern and central Europe, but much lower in more northerly and more maritime  
46 regions (Röhrig and Ulrich 1991). The species has become naturalized far beyond its native  
47 range. Its current distribution extends from Turkey and Spain to Ireland and Sweden  
48 (Fremstad and Elven, 1996; Rusanen and Myking, 2003) and even to North America, South  
49 America, New Zealand, and India (Binggeli, 1992). However, despite its economic and  
50 ecological advantages and its adaptability to a wide range of site conditions, sycamore only  
51 occupies a small proportion of the forest area of Europe. In most European countries, national  
52 inventories indicate that it rarely exceeds 3% of the forest area (Hein, 2008a). Like many  
53 other valuable broadleaves, sycamore could be used more widely in European forestry and in  
54 the timber industry (Spiecker *et al.*, 2008).

55  
56 Throughout Europe, many recommendations on how to grow sycamore exist (e.g. Thill, 1970;  
57 Kerr and Evans, 1993; Allegrini *et al.*, 1998; Joyce *et al.*, 1998; Tillisch, 2001). They are  
58 usually based partly on expert opinion and partly on professional experience. Though the  
59 recommendations normally produce reliable results in local silviculture, they are often based  
60 on assertions and hypotheses that have not been objectively tested and their successful  
61 extension to wider geographical areas is questionable.

62  
63 Important shifts in management objectives have occurred in response to an increasing interest  
64 in ecosystem services and reductions in the net incomes from forestry (e.g. Puettmann and  
65 Ammer, 2007; Spiecker *et al.*, 2004). These factors require the development of new  
66 silvicultural methods. For valuable broadleaved species such as sycamore, these methods  
67 should aim at producing high quality timber within a short period, which should ensure high  
68 final return, and simultaneously create mixed-species and structurally complex stands, which

69 should increase the ecological services of the forest (Spiecker *et al.*, 2008). However, the  
70 extent to which current silvicultural recommendations can be used to guide silviculture in this  
71 new context is unclear.

72

73 In order to develop silvicultural recommendations for growing sycamore in different  
74 geographical or silvicultural contexts, it is necessary to know (1) key features about the  
75 growth and development of the species that are relevant to silvicultural practice, including  
76 regeneration, survival, growth and wood quality, (2) the effects of factors that influence  
77 growth and development, including site, climate, and stand characteristics, and (3) the  
78 silvicultural methods to apply in order, where possible, to control these factors.

79

80 The objective of this review is to synthesise existing knowledge about different aspects of  
81 sycamore growth and development, in order to provide a basis for determining local, regional  
82 or national silvicultural guidelines for the species. The establishment and growth patterns of  
83 sycamore are described and the various factors that control their variability are identified.  
84 Though the focus is not on a particular silvicultural system, special emphasis is given to (1)  
85 the identification of factors that result in rapid growth while producing high quality timber  
86 and the analysis of possible trade-offs between fast growth and final wood quality and (2)  
87 specific problems that occur when growing sycamore in mixture with other species. The  
88 content of the paper therefore moves from consideration of the biological characteristics of  
89 sycamore towards conclusions for forest management.

90

### 91 **Regeneration and early growth**

92 In most of Europe, natural regeneration of sycamore is common when potential seed trees are  
93 available. Natural regeneration is used in a wide range of silvicultural systems, from regular

94 systems where the seedlings grow rapidly in full light on cleared sites to irregular ones where  
95 the seedlings may be maintained for long periods under canopies.

96

97 *Seed production and dispersal*

98 Sycamore normally starts bearing fertile seed between about ages 25 and 30 (Burschel and  
99 Huss, 1997) but the largest quantities are usually produced between the ages of 40 and 60 (El  
100 Kateb, 1992). The tree produces seeds annually but there are commonly two or three years  
101 between good seed crops. The regular and prolific seed production and the high germination  
102 rate of the seeds (Jones, 1945; El Kateb, 1992) ensure successful regeneration in most forest  
103 areas (Ammer, 1996a). As with many other European forest trees, sycamore has a short-lived  
104 seed bank and the regeneration process is consequently driven by seed rain (Deiller *et al.*,  
105 2003; Hérault *et al.*, 2004). Sycamore seeds are wind-dispersed and follow the usual log-  
106 normal pattern of seed distribution of wind-dispersed tree species (Wagner, 1997). Seeds are  
107 dispersed further than those of the oaks (*Quercus robur* L and *Q. petraea* (Mattuschka)  
108 Liebl.), beech (*Fagus sylvatica* L.) or lime (*Tilia* spp.), but not as far as ash (*Fraxinus*  
109 *excelsior* L.) or the birches (*Betula* spp.) (Johnson, 1998; Degen, 2006). Its dispersal  
110 capabilities allow sycamore to colonize adjacent stands by regularly providing a small number  
111 of new seedlings, which may establish themselves successfully if conditions are suitable.  
112 Natural regeneration may be efficiently used for converting conifer plantations into  
113 broadleaved stands, provided there are some stands with mature sycamore trees near the  
114 conifer plantation (Diaci, 2002; Hérault *et al.*, 2004). In Denmark, for instance, the  
115 invasiveness of sycamore has been widely used since the late 1960s for reliable, fast, and  
116 inexpensive establishment of a new generation of trees following windthrow of conifers  
117 (Jensen, 1983a, b; Tillisch, 2001)

118

119 Though very little seed is dispersed more than 50 m from parent trees (Degen, 2006) it is  
120 usually enough to colonize neighbouring stands with dense canopies and low understorey  
121 competition. It will not, however, be sufficient to colonize large canopy gaps where a well  
122 developed ground flora exists, such as a grass sward, and where competition is intense. In  
123 large canopy gaps (>30 m diameter), if sycamore seedlings are not present before canopy  
124 opening, the seeds are less likely to stock the centre of the gap fully and regeneration will  
125 therefore be found closer to the gap edges (Mosandl, 1984; Ammer 1996a).

126

### 127 *Response to canopy density*

128 In full light and on suitable sites, sycamore seedlings will grow rapidly and out-compete  
129 species such as beech and the oaks (*Quercus robur* and *Q. petraea*). When light availability is  
130 reduced to below 25% of full intensity (PAR), seedling diameter and height growth are  
131 strongly reduced (Dreyer *et al.*, 2005; Delagrange *et al.*, 2006). However, small sycamore  
132 seedlings (<50 cm tall) can survive for long periods (>15 years) under dense canopies where  
133 the light intensity is as low as 1% of full light (Hättenschwiler and Körner, 2000). In a 17-  
134 year-long experiment, Ammer (1996a) demonstrated that sycamore has a high survival rate  
135 even in low light conditions of around 5% of full light. At these, annual height increment is  
136 very small (typically around 1-2 cm per year for 0.2 to 1.0 m tall seedlings) (Gardère, 1995;  
137 Ammer, 1996a). The regular seed production by mature trees combined with the good shade  
138 tolerance of small seedlings leads to the formation of an abundant and persistent seedling  
139 bank under the closed canopy. Small suppressed sycamore seedlings are able to recover  
140 vigorous height and diameter growth immediately after canopy opening (Caquet *et al.*, 2005).  
141 On fertile soils, advance regeneration of 0.2 to 1-m-high seedlings competes strongly with  
142 newly germinating seedlings and its rapid development may preclude the establishment of  
143 other tree species (Wohlgemuth *et al.*, 2002; Collet *et al.*, 2008).

144

145 In the early stages of development, sycamore exhibits several life and physiological traits that  
146 usually characterize shade tolerant species: high survival and slow growth at low light  
147 intensities, a low photosynthetic rate at maximum irradiance, and low light compensation  
148 point (Hättenschwiler and Körner, 2000; Kazda *et al.*, 1998, 2000, 2004). As is normal with  
149 most species (Messier *et al.* 1999), light requirements increase as seedlings develop: 2-3 m  
150 tall seedlings are less shade tolerant than smaller ones established on the same site (Collet,  
151 2008 unpublished results) and adult trees clearly exhibit leaf gas exchange characteristics  
152 typical of moderately shade tolerant species (Hölscher, 2004). Sycamore seedlings are able to  
153 germinate and establish under deep shade but, as with most species, canopy opening is  
154 required if they are to advance to the canopy layer (Helliwell and Harrison, 1979). Although  
155 the general pattern of change in shade tolerance with increasing size is well established, more  
156 investigation is needed to analyze these changes and quantify the light levels required to allow  
157 active growth at the different developmental stages.

158  
159 Sycamore seedlings that grow under closed canopies develop a characteristic morphology: the  
160 apical meristem of the leading shoot has a high probability of dying each year, which leads to  
161 the formation of a stem with multiple forks (Gardère, 1995). In addition, the stem has a low  
162 mechanical strength and, in large seedlings (>1 m tall), it is often not rigid enough to prevent  
163 bending under its own weight. Large sycamore seedlings that have developed under deep  
164 shade are often not able to take advantage of canopy openings because they cannot recover  
165 the mechanical stability necessary to start rapid height growth (Grisard, 2008). The size  
166 threshold above which the seedlings have stability problems is variable and depends largely  
167 on local environmental conditions.

168  
169 To summarize, under closed canopies sycamore produces an abundant seedling bank. Small  
170 seedlings respond to canopy openings and may easily be used for natural regeneration.

171 However large seedlings that have grown and developed in closed stands may not be as  
172 responsive to canopy opening.

173

#### 174 *Responses to competition from ground vegetation*

175 A second major factor that may affect the establishment, survival, and growth of sycamore  
176 seedlings is competition from ground vegetation. Sycamore seedlings are very sensitive to  
177 competitive herbs (Ammer 1996a; Diaci, 2002; Modrý *et al.*, 2004; Vandenberghe *et al.*,  
178 2007). In natural regeneration, a canopy opening often induces development of luxuriant  
179 vegetation that rapidly forms a dense layer and competes with young tree seedlings. After  
180 canopy opening there is a short period, usually of no more than one or two years, during  
181 which the vegetation has only a small detrimental effect on seedling establishment (Diaci,  
182 2002; Wohlgemuth *et al.*, 2002). After this, it hinders the growth and survival of sycamore  
183 seedlings seriously. Based on a survey of 2,791 sycamore seedlings, Ammer and Weber  
184 (1999) found that the main factors that influence height growth on relatively poor calcareous  
185 soils in the Alps are (in this order) initial seedling height, light availability above the seedlings  
186 (determined by the overstorey density) and interactions (between light and intraspecific  
187 competition and between light and competition by the ground vegetation). These factors  
188 explained 41% of the variation in the data. They emphasised the importance of competing  
189 ground vegetation in impeding the early growth of sycamore. The silviculturist's skill lies in  
190 ensuring reasonable growth of young sycamore trees by appropriate manipulation of the light  
191 so that tree growth is adequate but weed growth is minimised.

192

#### 193 *Responses to late frosts*

194 Sycamore is relatively tolerant to late spring frosts in terms of establishment, survival, and  
195 growth. This frost resistance also explains the success of the species after the formation of  
196 large canopy gaps (Piovesan *et al.*, 2005). Frost tolerance and the species' capacity for

197 vigorous growth in early development are reasons for forest managers' preference of  
198 sycamore in regions where stand establishment may be slow or made difficult by harsh  
199 climatic conditions (e.g. Skovsgaard and Jørgensen, 2004).

200

#### 201 *Responses to coppicing*

202 Sycamore coppices quickly after cutting, which partly explains its presence in forest stands  
203 after clear felling. The rapid coppice regrowth on clearfelled sites has often been exploited to  
204 restock stands and archive good quality sprouts (Bryndum and Henriksen, 1988; Henriksen  
205 and Bryndum, 1989; Tillisch, 2001).

206

#### 207 *Responses to damage by mammals*

208 Sycamore seedlings are highly palatable to deer (roe [*Capreolus capreolus* L.], red [*Cervus*  
209 *elaphus* L.], sika [*Cervus nippon* Temminck], and fallow [*Dama dama* L.]) which feed on the  
210 leaves, buds, and young shoots (Gill, 1992). Seedlings <3-years old can be severely browsed  
211 and show low survival rates after damage (Eiberle and Nigg, 1987) or much reduced height  
212 growth in subsequent unbrowsed years (Kupferschmid and Bugmann, 2008). Older seedlings  
213 are more resilient to repeated browsing. Though it rarely leads to death, it can induce the  
214 formation of multiple forked stems (Ammer, 1996 b; Harmer, 2001; Modrý *et al.*, 2004) and  
215 keep seedlings at browsing height or below for many years. This prevents them from growing  
216 into the understorey (Ammer, 1996 b). In all situations where the initial number of seedlings  
217 is low (as in conifer plantations undergoing conversion, Diaci, 2002) or where the number of  
218 seedlings is high but the browsing pressure strong (Burschel *et al.*, 1985; Mosandl and El  
219 Kateb, 1988; Ammer, 1996b), control of damage by animals is required to ensure sufficient  
220 stocking and growth.

221

222 In mixed stands, differences in both palatability and in resilience between species strongly  
223 affect the species composition of the regeneration. Only sparse data exist that compare the  
224 palatability and resilience of sycamore and its associated tree species. The sensitivity of  
225 sycamore to browsing is comparable to that of ash (Kupferschmid and Bugmann, 2008) and  
226 much higher than that of beech (Modrý et al, 2004), and in many stands where the three  
227 species grow in mixture, a high browsing pressure on sycamore leads to the dominance of  
228 beech in regeneration. In contrast, when browsing is controlled, sycamore and ash may  
229 dominate beech (Modrý et al, 2004). In mixed mountain forests where sycamore grows in  
230 mixture with silver fir, sycamore seedlings are less damaged by browsing than silver fir  
231 seedlings. Therefore sycamore dominates silver fir seedlings for many years (Ammer, 1996b).  
232 Thus, even on sites where sycamore has a strong competitive advantage over other species, it  
233 may be overtopped by a less palatable species if the browsing pressure is high.

234

#### 235 *Damage due to bark stripping*

236 Bark stripping of sycamore by the American grey squirrel (*Sciurus carolinensis* Gmelin) has  
237 repeatedly been reported from Great Britain and Ireland (O'Teangana *et al.*, 2000; Lawton,  
238 2003; Mayle *et al.*, 2004; Mountford, 2006) and more recently from northern Italy (Bertolino  
239 and Genovesi, 2002; Signorile and Evans, 2007). According to an assessment by Rayden and  
240 Savill (2004) sycamore and beech are the most susceptible broadleaves. Stems below 30 cm  
241 DBH are the most vulnerable to debarking by the grey squirrel, and the fastest growing  
242 individuals seem to be the most affected (Harris, 2005). When the lower parts of a trunk are  
243 affected, debarking leads to staining of the wood close to exposed parts. Bark stripping within  
244 the crown also leads to a reduction of annual increment by up to  $4 \text{ m}^3 \text{ ha}^{-1} \text{ year}^{-1}$  and to severe  
245 crown dieback (Mayle *et al.*, 2004). The long-term prospects for growing sycamore for high  
246 quality wood production are much reduced (Rayden and Savill, 2004). No silvicultural

247 measures have been found to decrease the risk of bark stripping of sycamore apart from  
248 sustained shooting, trapping or poisoning the squirrels.

249

#### 250 *Interactions between environmental effects*

251 Browsing, shading, late frost, and competition from ground vegetation may interact in many  
252 ways and, when combined, the effects on survival and growth of sycamore seedlings can be  
253 extremely variable, depending on local environment (e.g. Skovsgaard and Jørgensen, 2004).  
254 For example, Diaci (2002) observed that roe deer may feed on herbaceous vegetation, which  
255 strongly reduces the competitive effect of that vegetation on the establishment and survival of  
256 sycamore seedlings. The authors concluded that in fenced enclosures where deer browsing is  
257 not possible, seedling densities can be significantly lower than outside the fence. In an  
258 experiment performed in an agro-sylvo-pastoral system, Vandenberghe *et al.* (2007) showed  
259 that taller vegetation surrounding sycamore seedlings may also provide protection from  
260 browsing. In other studies, it has been demonstrated that browsing has an overwhelmingly  
261 negative effect on sycamore seedling establishment, compared to competition from ground  
262 vegetation (Ammer, 1996b; Harmer, 2001), and that the effects of the two may be additive  
263 (Modrý *et al.*, 2004). It is therefore difficult to indicate the relative importance of browsing,  
264 competition by vegetation, late frosts, and their possible interactions on sycamore seedlings  
265 and associated species. Additional studies are needed to understand the interactions among  
266 these different factors and to quantify their combined effects on the development of  
267 regeneration. The results could then be used to formulate silvicultural means of controlling  
268 them.

269

#### 270 **Growth at later stages of stand development**

##### 271 *Height growth*

272 Few investigations into height growth of sycamore have been reported in the literature  
273 compared to the more common European broadleaves like *oak* and beech (Table 1). The early  
274 quantitative research approaches date back only to the 1950s. Lessel (1950) graphically  
275 constructed the first height growth curve using 77 trees from a limited geographical area in  
276 Germany (cf. table 1). Kjølby (1958) in Denmark was the first to create polymorphic height  
277 growth curves followed by Hamilton and Christie (1971) in Great Britain and more recently  
278 by Lockow (2004) in Germany. Kjølby's model was based on a large number of temporary  
279 and permanent growth and yield plots, but lacked a clear definition of stand mean height.  
280 Similarly for Romanian forests, only sparse information was given on how the height growth  
281 curves were constructed (Anon., 1984).

282

283 The height growth curves for sycamore all share one common characteristic (Figure 1 A-D):  
284 rapid height growth at early ages (<20 – 25 years) which then slows. On sites where growth is  
285 best, sycamore reaches up to 19.5 m by age 20 (Claessens *et al.* 1999); on the poorest sites the  
286 lowest height is 6.5 m (Anon., 1984). Compared to beech (Figure 2A), sycamores are taller  
287 between ages of about 20 – 40 and similar to ash (Figure 2B). This height advantage when  
288 young enables sycamore to survive and even to grow well in mixture with other species.  
289 However, stand heights at greater ages are variable (Figure 1A).

290

291 Many studies show that genetic origin influences height growth of sycamore. Cundall *et al.*  
292 (1998), for example, found significant differences in early height growth between British and  
293 continental European provenances. However, at 6, 10, 15, 21 and 31 years after the start of a  
294 German experiment with eight provenances from the states of Saxony-Anhalt and Thuringia,  
295 no significant differences in height growth were found (Weiser 1971, 1981, 1996). Recently,  
296 the European Forest Genetic Resources Programme (EUFORGEN, Eriksson, 2001)

297 established a European database on provenances, which will offer further opportunities for  
298 research on this topic (Turok *et al.*, 1996).

299

300 Even though some common characteristics are clear from these height growth models, there is  
301 still some variability that cannot be explained. Possible reasons for it are changes in growth  
302 due to changing site conditions or changing silvicultural prescriptions. In addition unbalanced  
303 datasets (e.g. no observations for old sycamore trees on the best sites), biased sampling  
304 techniques, and inefficient smoothing techniques can cause biased predictions when setting up  
305 height growth equations. These sources of variability are potential causes of uncertainty for  
306 the silviculture of sycamore as real height growth may diverge from the model output.

307

### 308 *Diameter growth*

309 Estimates of diameter growth at breast height (DBH) are traditionally obtained from yield  
310 tables. However these estimates simply mirror growth in “average” conditions (e.g. moderate  
311 thinning) that are often not quantified and do not necessarily reflect modern thinning regimes.  
312 Furthermore they do not give production objectives nor do they offer paths towards specific  
313 goals. Nagel (1985) set up a polymorphic model modifying a potential maximum stem  
314 diameter growth for open-grown trees by a competition index. Following his findings,  
315 diameter increment of sycamore reaches its highest values at a tree age of less than 10 years  
316 when growing without competition. These results are in agreement with investigations on  
317 height growth: sycamore reaches high values of both height and diameter increment at an  
318 early age. It then slows with increasing age (<20 – 25 years). As with height, it thus exhibits a  
319 growth pattern different from beech and oak, but similar to ash. Case studies from Thuringia,  
320 Germany, and the Lorraine region of France on growth of ash, sycamore, and beech on the  
321 same sites underlines these findings derived from separate height and diameter growth models  
322 (Erteld, 1959; Le Goff *et al.*, 1985).

323

324 *Crown diameter – stem diameter relationships*

325 To describe diameter growth, authors often refer to the allometric relationship between crown  
326 width and DBH (for broadleaves e.g. Savill, 1991). For instance Hemery *et al.* (2005) used  
327 this relationship to define desirable spacings or stocking rates for ash, cherry, and sycamore.  
328 This relationship can help explain differences between species with regard to diameter  
329 development.

330

331 Recently Hein and Spiecker (2008a) proposed a more general description of this allometric  
332 relationship for sycamore, similar to one previously proposed for oaks by Spiecker (1991) and  
333 ash by Hein (2004). The inclusion of age as an independent variable in the relationship  
334 between crown width and DBH can explain the observed differences in crown width between  
335 fast and slow growing trees. Trees growing rapidly in diameter (i.e. those with a high mean  
336 radial increment) reach a given DBH earlier than those that grow more slowly. When slower  
337 growing trees reach this DBH, their crown is significantly larger than faster growing trees of  
338 the same DBH. This agrees with the results of investigations by Hasenauer (1997), Condés  
339 and Sterba (2005), and Hein and Spiecker (2008b) on open grown trees: trees grown without  
340 competition have larger crown diameters for any DBH than those in densely stocked stands.

341

342 However, the relationship for sycamore differs from those for ash, oak and cherry (Hein and  
343 Spiecker, 2008a). At the same DBH and tree age, dominant sycamores have the smallest  
344 crown diameters. Apparently the crowns of dominant trees are more efficient in the use of  
345 space. Thus when considering the upper canopy, a slightly more trees can be grown per  
346 hectare, a finding relevant for crop tree selection and thinning intensity.

347

348 Size-density relationships have been a topic of intense forest research for many decades  
349 (Reinecke, 1933; Yoda *et al.*, 1963; Pretzsch, 2005). However, so far no relationships have  
350 been established for sycamore. Provisional results have been obtained in France, comparing  
351 self-thinning curves for sycamore, beech, ash, sessile and pedunculate oak (Le Goff, 2007,  
352 unpublished results): data for sycamore are scarce, but the size-density relationship seems to  
353 have a slightly steeper slope than the relationships established for beech (Le Goff and  
354 Ottorini, 1999) and ash but similar to that for oak. Thus, a pure even-aged stand of sycamore  
355 would have a smaller maximum number of live trees per unit area for a given mean diameter  
356 than pure beech or ash, but the same number as oak. These findings contradict the results  
357 studies on the crown width, DBH and tree age relationship mentioned previously. Possible  
358 explanations are that the self-thinning curves are based upon mean diameter of all trees in the  
359 stand whereas the crown width measurements focus merely on dominant trees from the upper  
360 canopy. Furthermore tree age also has an influence on tree diameter development and could  
361 thus modify the self-thinning lines. Finally the results of the size-density relationship studies  
362 are preliminary. To describe the sycamore self-thinning line more accurately data from  
363 unthinned permanent plots would be necessary. An approach towards unifying both findings  
364 is yet to be found.

365

### 366 *Volume growth and productivity*

367 Volume growth is a function of changes in tree diameter, height, and the number of trees per  
368 hectare. A general indication of it is given in yield tables such as those of Kjølby (1958)  
369 which display the range of volume productivity found for sycamore (see Fig. 1). The current  
370 annual volume increment (CAI) culminates at  $19.5 \text{ m}^3 \text{ ha}^{-1}$  at age 21. The mean annual  
371 volume increment (MAI) culminates at  $15 \text{ m}^3 \text{ ha}^{-1}$  at age 27 for the best yield class. Kjølby's  
372 (1958) MAI-graph allows estimates to be made of the productivity of sycamore in Denmark:  
373 the cumulative volume production at 80 years is  $1050 \text{ m}^3 \text{ ha}^{-1}$  for the best and  $700 \text{ m}^3 \text{ ha}^{-1}$  for

374 the poorest yield class (all values given for volume over bark >5 cm in diameter and halfway  
375 between thinnings).

376

377 As with all species, stand volume productivity is affected by thinning intensity and thinning  
378 grade. Unfortunately few results are available for sycamore. In Danish experimental plots  
379 thinned between ages 17 and 44 (Jensen, 1983a,b; Bryndum and Henriksen, 1988; Henriksen  
380 and Bryndum, 1989; Jørgensen, 1992, 1998; Plauborg *et al.*, 2001; Plauborg, 2004), heavy  
381 thinning beyond a relative basal area of approximately 60% has been shown to reduce stand  
382 volume growth by more than 10%, while extremely heavy thinning to a basal area of 31%  
383 reduced stand volume growth by as much as 50-60% compared to the unthinned controls.  
384 Diameter growth of large, potential crop trees responded only marginally or not at all to these  
385 thinnings. This latter finding is in contrast to a statement by Stern (1989) to the effect that  
386 sycamore has the ability to respond positively to delayed thinnings compared to species such  
387 as cherry or even ash. The possible inaccuracy of Stern's assertion is supported by the fact  
388 that sycamore shows an early culmination of both height and diameter increment, which are  
389 good indicators of the crown's ability to respond to thinnings.

390

391 Comparing the volume production of sycamore and beech over time, two main characteristics  
392 are apparent: firstly, the cumulative volume production of sycamore (at about 1050 m<sup>3</sup> ha<sup>-1</sup>) is  
393 considerably higher than that of beech which reaches only 546 m<sup>3</sup> ha<sup>-1</sup> at age 80 in the best  
394 yield class (Schober, 1995). Henriksen and Bryndum (1989) stated for Danish thinning trials  
395 with sycamore and beech on similar sites that sycamore has a higher or equivalent cumulative  
396 volume production to beech only up to age 40. CAI and MAI culminate earlier than in beech.  
397 Interestingly, even if height growth of ash and sycamore were similar, the cumulative volume  
398 production of ash would be considerably lower (555 m<sup>3</sup> ha<sup>-1</sup> at age 80 for the best yield class,  
399 Volquardts, 1958). A comparison by Lockow (2004) of the effects of dominant stand heights

400 on cumulative volume production of sycamore, ash and beech in northern Germany revealed  
401 another pattern for the best site class of each species: at a dominant height of 30 m the volume  
402 of sycamore exceeds that of ash by  $180 \text{ m}^3 \text{ ha}^{-1}$  with respect to its cumulative volume  
403 production, whereas beech exceeds sycamore only at heights greater than 30 m having been  
404 the same earlier in the rotation.

405

406 Thus, although volume increment (CAI and MAI) can reach high values, it culminates early  
407 and it is influenced by thinning intensity. Its growth response to thinnings is most rapid in  
408 youth but then slows down considerably. Trade-offs between losses of volume growth per  
409 unit area and height and diameter increment of crop trees also have to be considered when  
410 deciding on whether to thin heavily or lightly. In addition, compared to ash, the productivity  
411 of sycamore is sufficiently high to consider it an alternative species on appropriate sites.

412

#### 413 *Yield tables*

414 Most of the early information on height, diameter, and volume growth of sycamore (see  
415 previous section) has been structured in yield tables. There are some interesting facts about  
416 European yield tables, only a few of which are available for sycamore (Table 1). The first to  
417 be developed was that of Kjølby (1958) who graphically displayed all classical  
418 dendrometrical measures, including selected tree dimensions and stand attributes both before  
419 thinning, and of the trees removed, and the thinning yield. Although it was the first yield table  
420 and constructed from trees in a limited geographical area, it reflects the same growth pattern  
421 outlined in previous sections: all measures of increment peak early having reached high  
422 values, and cumulative volume production is high.

423

424 There are only some slight age-related growth pattern differences between the yield tables of  
425 Kjølby (1958), Nagel (1985), Lockow (2004) and Hamilton and Christie (1971). However,

426 when compared to Kjølby's tables, cumulative volume production of the latter tables is much  
427 lower amounting to 766 m<sup>3</sup> ha<sup>-1</sup> for the best and 274 m<sup>3</sup> ha<sup>-1</sup> for the poorest yield class (both at  
428 80 years). This clearly shows that their application of should be confined to the regions where  
429 the data used for their construction were collected.

430

431 To deal with yield estimates for sycamore for forest management in regions where no yield  
432 tables for it exists, the use of tables for other similar species is often recommended. The  
433 instructions for the use of yield tables in southern Germany, for instance (BY-FE, 1990; BW-  
434 FE, 1993), assign sycamore to the ash tables. For Austria, Marschal (1975) recommends that  
435 beech tables are used. However, where tables for sycamore and comparable species are  
436 available there are significant regional variations in rates of growth and production, indicating  
437 that the use of tables constructed for other species to estimate sycamore growth will most  
438 likely give unreliable results.

439

440 Some yield tables should be treated with caution, because data were sampled at the beginning  
441 of the 20<sup>th</sup> century and most likely growth patterns have changed since then (e.g. Spiecker *et*  
442 *al.*, 1996). Data on the growth of sycamore is sparse and existing yield tables do not cover the  
443 whole range of the species in Europe. Thus, information for predicting the growth of  
444 sycamore is less reliable than that for the more important European broadleaves. In addition  
445 yield tables summarise growth of pure stands, while sycamore is much more commonly found  
446 in mixtures. This indicates potential difficulties in silvicultural practice, especially where  
447 single tree silviculture is applied.

448

#### 449 *Forest growth simulators*

450 Only a few forest growth simulators have been parameterised for sycamore and are thus  
451 available for decision making in forest management. Kjølby's (1958) classical yield table has

452 been transformed into mathematical models which are currently in use for forest management  
453 planning in Denmark. Nagel's (1985) models have been integrated into the forest growth  
454 simulator BwinPro (Nagel *et al.*, 2003), a forest ecosystem management model (*sensu*  
455 Hasenauer *et al.*, 2000) widely used in northern Germany.

456

457 Another tree growth simulation system with species-specific parameterisation is SimCAP  
458 (Ottorini and Le Goff, 2002), a single tree, spatially explicit growth simulator (*sensu* Porté  
459 and Bartelink, 2002; Robinson and Ek, 2003) based on tree crown development. The program  
460 is adapted to pure and mixed even-aged stands of ash and beech, and will be modified to work  
461 with sycamore data as well. Specific tree growth and development equations are under  
462 construction for sycamore, based on stem and branch analysis of sampled felled trees.

463

464 Some parameters of the single tree, distance-dependent growth simulator SILVA (Pretzsch *et*  
465 *al.*, 2002) have been estimated for sycamore using "expert opinion" (Dursky, 2000), others  
466 adapted from the ash yield table set up by Wimmenauer (1919), and yet others from the beech  
467 yield table by Wiedemann (1932) and Nagel (1985). Apart from the simulators mentioned  
468 above, sycamore has been included into other large scale forest-related decision tools (e.g.  
469 Bugmann *et al.*, 1997; Lasch *et al.*, 2002). However, as they do not aim at simulating the  
470 effect of contrasting silvicultural regimes on classical growth and yield characteristics at tree  
471 or stand level, they are not discussed further here.

472

473 Summarising the section, there is ample evidence that sycamore is, so far, not of primary  
474 interest when setting up growth simulators. However a species-specific parameterisation  
475 could improve yield estimates and contribute to sustainable forest management planning.

476

**477 Growth of sycamore in mixed-species stands**

478 For both ecological and anthropogenic reasons that are difficult to disentangle (Merton,  
479 1970), sycamore is rarely found in pure stands (Jones, 1945). It more often constitutes a  
480 component of mixed broadleaved or conifer-broadleaved stands, where it may be found in  
481 small groups or in intimate mixtures with other species. Such stand types are often managed  
482 by silvicultural systems that include some sort of selection or group-selection thinning, or  
483 they are a result of selection-like thinning practices (e.g. Sabroe, 1958, 1959, 1973).

484  
485 The ability of sycamore to grow in mixture with other species arises from two main  
486 characteristics: it can easily regenerate naturally and can achieve temporal dominance through  
487 its rapid early height growth. These two features enable sycamore to develop successfully  
488 under silvicultural regimes that have been optimised for other species, and they explain its  
489 ability to grow in mixture with species that may have different silvicultural requirements.

490  
491 On the most productive sites, an important issue when growing sycamore in mixture with, or  
492 adjacent to other species is its potential invasiveness (Henriksen, 1988; Skovsgaard and  
493 Henriksen, 2006; Skovsgaard and Jørgensen, 2004; Waters and Savill, 1992). This is due to  
494 the fact that sycamore is very competitive in youth on these sites. There is a widely held belief  
495 that if its development is not controlled, the stand may evolve into a pure sycamore within  
496 one or two rotations. Sycamore can easily be grown in mixture with other species, and can  
497 also easily be controlled by intensive early thinning.

498  
499 On limestone plateaux of western and central Europe, sycamore is usually found as a  
500 secondary species in stands dominated by beech or oak (e.g. Erteld, 1959). These stands are  
501 characterized by a potential for significant species diversity, due to a high spatial  
502 heterogeneity in soil conditions. The main species found in association with sycamore,

503 besides beech and oak, are: Norway maple (*Acer platanoides* L.), *field maple* (*Acer campestre*  
504 L.), hornbeam (*Carpinus betulus* L.), ash, cherry, various *Sorbus* species and limes. (Decocq  
505 *et al.*, 2005). On sites with good water availability, sycamore may represent a major  
506 proportion of the total stand basal area. By contrast, on drought-prone sites it is widely  
507 scattered. On slightly acidic sites with deep and well-drained soils, sycamore may also be  
508 found in mixture with the same set of species.

509

510 Beech is the species most commonly found in European forests in association with sycamore  
511 (Jones, 1945; Bartelink and Olsthoorn, 1999; Piovesan *et al.* 2005). At the regeneration stage  
512 the two species are often seen in intimate mixture. Their seedlings have similar light  
513 requirements and, in shaded or partially shaded conditions, they show similar growth in the  
514 first few years after establishment. However, once the canopy is removed and the seedlings  
515 are in full light, sycamore grows much more quickly and rapidly suppresses beech on good  
516 quality sites (Beck and Göttsche 1976). This growth advantage persists until an age of 60 to  
517 80 years (Figure 2A, e.g. Hein, 2004; Schober 1995) when beech catches up (Erteld, 1959).  
518 After that age, it is necessary to remove the beech that may overshadow sycamore and keep  
519 them free from any competing beech in order to maintain good diameter growth.  
520 Alternatively, an alternating rotation-long dominance of either beech or sycamore may be  
521 anticipated or managed for (Skovsgaard and Henriksen, 2006).

522

523 In naturally regenerated stands, sycamore is also often mixed with ash. The two species show  
524 very close ecological requirements and growth dynamics (Binggeli, 1992; Waters and Savill,  
525 1992). The light requirement for both species increases after the seedling stage. Similar  
526 ecological requirements are reflected in their similar height-growth curves (Figure 2B, e.g. Le  
527 Goff, 1982; Hein, 2004), which makes controlling their growth in mixed stands easy.  
528 However, when mature, sycamore casts a deeper shade than ash, which may give it a small

529 competitive advantage on moist sites. On drier sites sycamore often grows more slowly than  
530 ash (Morecroft *et al.*, 2008). A survey of ash and sycamore regeneration patterns conducted  
531 by Waters and Savill (1992) in southern parts of Great Britain indicated that canopy tree  
532 replacement in stands of the two species may proceed in cyclic rather than serial fashion,  
533 although this is not a general pattern observed in all stands (Morecroft *et al.*, 2008).

534

535 A third broadleaved species often grown in mixture with sycamore is oak. Sycamore clearly  
536 has a competitive superiority over oak, due to its more rapid early height growth and its  
537 greater shade tolerance. If sycamores are scattered as individual dominant trees in a stand  
538 dominated by oak, there is no need to control development of sycamore. But if sycamore  
539 occupies a larger proportion, it is necessary to prevent the competing sycamore from  
540 overcrowding the target oak.

541

542 In mountain forests, sycamore may be found in mixed stands on a broad range of sites  
543 (Piovesan *et al.*, 2005; Walentowski *et al.*, 2006). It is often found as a secondary species in  
544 stands dominated by Norway spruce, silver fir, and beech, where it may grow very well  
545 (Ammer, 1996a). In the Bavarian Alps, the percentage of sycamore in these associations  
546 varies from 10 to 15% of stand basal area; Norway spruce, silver fir, and beech each represent  
547 between 20 and 40% (Ammer, 1996a). The high proportion of sycamore in these stands is  
548 said to be a consequence of the low ungulate populations that occurred for a short time in the  
549 mid 19<sup>th</sup> century. During this period, the establishment of sycamore was favoured and stands  
550 that originated then have a higher proportion of sycamore than more recently established  
551 stands. Sycamore may also be found on unstable steep rocky slopes in mixture with lime and  
552 ash, due to its deep, strong root system.

553

554 In mountainous areas, sycamore is often associated with silver fir. While both have a high  
555 shade tolerances in the regeneration phase, the two species otherwise have very different  
556 growth patterns. Sycamore responds quickly to improved resource availability, while fir  
557 increases growth much more slowly (Ammer, 1996a). In even-aged mixtures sycamore  
558 therefore often overtops fir in the thicket stage, but due to its ability to persist under shade, fir  
559 is rarely out competed. At later ages fir trees can grow into the sycamore canopy and suppress  
560 neighbouring sycamores. However, as fir in such stands is usually rather localised, forest  
561 management activities to control it are hardly ever necessary. According to Pretzsch (2005) it  
562 would not be surprising if the mixture of the light demanding sycamore and the shade tolerant  
563 fir were an example of positively interacting species, possibly caused by complementary  
564 resource utilisation.

565

566 A recent investigation into survival of broadleaves in mixed-species floodplain forests has  
567 added another facet to knowledge of the behaviour of sycamore in mixed stands. After  
568 extreme episodes of flooding along the Rhine between France and Germany, Hauschild and  
569 Hein (2008) found that survival of sycamore increased with increasing tree diameter and  
570 decreased with increasing duration of flooding and increasing flood level height. Flooding  
571 tolerance of sycamore is very low compared to ash, *Populus*, oak, *Salix*, and *Ulmus*, but  
572 slightly higher than beech and cherry. Similar results were reported by Späth (2002), who  
573 defined 30 days as the maximum tolerable flooding period for sycamore given the flood levels  
574 typical for the Upper Rhine. This is especially true for small sized trees up to 25 cm DBH. In  
575 mixed-species floodplain forests the high vulnerability restricts silvicultural options for  
576 sycamore and, unsurprisingly, leads to the dominance of species native to floodplain forests  
577 (ash, poplar, red oak, willow and elm).

578

579 In conclusion, we currently have a good general knowledge about the growth of sycamore  
580 relative to that of its main associates. However, there is a lack of more detailed information  
581 about the relative sensitivity of sycamore to various growth factors (site fertility, drought,  
582 climatic events, herbivory, etc.) and to the main silvicultural operations and their interactions  
583 with the growth factors mentioned previously. This hinders our understanding of the  
584 dynamics of sycamore in mixed-species stands and precludes the development of silvicultural  
585 guidelines adapted to these stands.

586

### 587 **Aspects of wood quality**

#### 588 *Basic wood properties*

589 The wood density of sycamore is similar to that of oak, at 0.63-0.64 g cm<sup>-3</sup> at 12-17%  
590 moisture content (e.g. Aaron and Richards, 1990; Mmolotsi and Teklehaimanot, 2006). For  
591 potential silvicultural options it is important to note that ring width has little influence on  
592 wood density, as the wood is diffuse porous (Mmolotsi and Teklehaimanot 2006). This means  
593 that growth rate will not affect strength properties. Furthermore, wood density is independent  
594 of site characteristics (Von Wedel, 1964; Nepveu and Madesclaire, 1986). In addition, no  
595 differences in density have been found between white and coloured timber (Achterberg,  
596 1963).

597

598 A white or a creamy colour of sycamore timber is a prerequisite for high prices (Achterberg,  
599 1963; Von Wedel, 1964; Sachs, 1966; Keller, 1992). Brown coloured heartwood has  
600 occasionally been observed in logs of more than 50 cm in diameter (Von Wedel, 1964).  
601 According to Kadunc (2007), the presence of discoloured heartwood in the first log is very  
602 likely if the DBH is greater than 45 cm. Moltesen (1958) and Achterberg (1963) hypothesised  
603 that heartwood discoloration is linked to the occurrence of dead branches and frost cracks.  
604 Additionally, only recently has the effect of forks on the probability of heartwood formation

605 been investigated: the presence of forks increases the risk of discoloration. Discolouration  
606 increases along the stem up to a height of 6-8 m, and decreases in the higher parts of the tree  
607 (Kadunc, 2007). Heartwood discoloration in sycamore is somewhat similar to the pattern  
608 found in beech: with increasing age, relative crown length and average diameter, the  
609 formation of discoloured heartwood is more likely (Knoke, 2002 and 2003).

610

#### 611 *Growth and its relation to branchiness and knottiness*

612 As with most species, branchiness and knottiness are key determinants of wood quality in  
613 sycamore as they affect the mechanical, chemical and, particularly, the aesthetic properties of  
614 both round wood and sawn timber (Achterberg, 1963; Von Wedel, 1964; Becker, 2008). Only  
615 wood and timber free of tight and loose knots is put into the highest grades (e.g. European  
616 pre-norms on round wood grading, NHM, 1997). Since both of these factors can be controlled  
617 by silvicultural operations, they are important when setting up silvicultural strategies for the  
618 species.

619

620 Natural pruning of sycamore is fast due to its rapid early height growth, but the occurrence of  
621 forks can reduce the length of clear bole significantly. On good sites, the height of clear bole  
622 is greater than on poor sites for trees of similar diameters. Rapid self-pruning is characteristic  
623 of sycamore and ash, whereas pruning of beech and oak is slower under similar conditions  
624 (Hein, 2008a; Nutto, 1999). For evaluation of contrasting silvicultural strategies, allometric  
625 models developed by Hein (2004) give quantitative information on the length of clear bole  
626 during tree development, as a factor of the competitive status of the tree.

627

628 A few models relating to branchiness and knottiness are available for sycamore (e.g. Hein,  
629 2004; Hein and Spiecker, 2007). For forest management, information on the probability of  
630 forks occurring, the distribution of branches within the crown, and the pattern of branch

631 mortality would be beneficial. There have been no investigations into natural pruning of  
632 sycamore grown in mixed stands. However it is likely that that an admixture of species with  
633 different crown transparencies or competitive abilities will alter branch mortality. These gaps  
634 in knowledge are remarkable as sycamore produces branches arranged similar to whorls as it  
635 grows in height, following a pattern similar to branching in conifers, for which many detailed  
636 models already exist (e.g. Mäkinen *et al.*, 2003; Hein *et al.*, 2006).

637

638 There are also only a few investigations describing aspects of artificial pruning of sycamore.  
639 Compared to natural pruning, branch occlusion is significantly faster with artificial pruning  
640 and the width of the knotty core is also reduced (Hein and Spiecker, 2007). Most fungal  
641 infections found after pruning do not degrade sycamore wood and remain within the knotty  
642 core (Soutrenon, 1991). Unfortunately, no significant quantitative research has been done into  
643 the risk of coloration or wood decay after artificial pruning in sycamore. However, some  
644 general rules, common to all species, like restricting pruning to smaller branches and not  
645 damaging the branch collar can be applied equally to sycamore (see Hubert and Courraud,  
646 1994; Allegrini *et al.*, 1998; Boulet-Gercourt, 2000; Hein, 2008b; Hein and Spiecker, 2007).  
647 So far no results on the impact of artificial pruning on the incidence of epicormics, or the long  
648 term effect of pruning on height or stem diameter growth have been published.

649

#### 650 **Silviculture for growing high value timber**

651 Although there is a demand from the veneer and sawmilling industries for attractive large  
652 diameter sycamore logs of high quality (i.e. knot free, straight and without coloration inside),  
653 there are few quantitative silvicultural suggestions about how to approach such objectives.

654

655 Throughout Europe many local recommendations exist for growing high quality sycamore  
656 timber (e.g. Thill, 1970; Kerr and Evans, 1993; Armand, 1995; Bartoli and Dall'Armi, 1996;

657 Allegrini *et al.*, 1998; Joyce *et al.*, 1998; BY-MIN, 1999; Tillisch, 2001). Some are based  
658 mostly upon local experience (e.g. Table 1), but the potential to transfer them to other  
659 situations needs further research. They all contribute to useful information on growing  
660 valuable sycamore. In the following sections we highlight some of the main results that are  
661 common to these investigations. In addition we point to aspects that need further work.

662

663 Silvicultural objectives for growing crop trees should deliver quantitative information at least  
664 on-target diameter and rotation length, clear bole length and density per ha during tree  
665 development. These four important aspects can easily be controlled by appropriate  
666 silviculture. However the interdependence of diameter growth and wood quality, especially  
667 through natural pruning, must not be neglected. Approaches in Europe to these aspects differ  
668 significantly between authors (Thies *et al.*, 2008); especially in respect of the number of final  
669 crop trees, even though their diameters at breast height may be similar (Table 2). The  
670 variation in silvicultural objectives across Europe also indicates a significant degree of  
671 variability concerning the growth patterns of sycamore.

672

673 Recently a model framework towards quantifying silvicultural objectives for sycamore has  
674 been proposed by Hein (2004) and Hein and Spiecker (2008a). A potential outcome based  
675 upon crown width development is demonstrated in Table 2 (see also Hein, 2004). It shows,  
676 for example that there would be 72 mature dominant crop trees per hectare of 60 cm diameter  
677 by the end of a rotation of 75 years, assuming a mean radial increment of 4 mm per year. The  
678 anticipated length of clear bole is 11.8 m for site index 30 at age 60 years. It should be noted  
679 that only the last column is affected by site conditions (adapted from Hein and Spiecker,  
680 2008a).

681

682 Although models are available now, many silvicultural recommendations for forest practice  
683 remain vague and non-quantitative (e.g. Joyce *et al.*, 1998; BY-MIN, 1999). In addition it is  
684 still unclear how silvicultural objectives should be adapted in mixed stands. Lastly no  
685 investigation has so far been made into the potential trade-offs between silvicultural  
686 objectives focussing on a limited number of selected crop trees and those maximising per  
687 hectare productivity as is done, for example, for oak (Spiecker, 1991; Kerr, 1996).  
688 Furthermore there is still debate on the appropriate time to select crop trees: if selection takes  
689 place early, the crown will respond quickly to thinnings, but the clear bole length will be  
690 shorter compared to later selection. Finally, the criteria for crop tree selection are generally  
691 accepted and can be ranked in order of priority: vitality, quality, and distribution. However,  
692 quantitative information on minimum vigour, acceptable levels of failures of the stem and  
693 their dynamics in time are still missing.

694

695 Once these objectives are set, silvicultural prescriptions are needed to enable them to be  
696 achieved. The following three approaches for solutions are in the literature on sycamore. They  
697 differ in their assumptions and advantages. In addition for each of these approaches research  
698 is still needed to quantify the uncertainty involved. The following sections refer to examples  
699 of the corresponding guidelines and outline the major research needs.

700

#### 701 *1. Thinning guidelines based on number of trees per hectare*

702 The number of dominant trees per hectare reflects stand density. Assuming a specific crown  
703 cover, crown width development can be taken as a measure of the tree diameter growth over  
704 time (e.g. Thill, 1975; Hein, 2004). However, such guides do not allow for decisions on how  
705 to converge the circumstances of an individual tree to what is recommended in the guide.

706

707 Problems of vigour and risks of epicormic growth after heavy thinnings are not considered in  
708 such guides. Research would therefore be needed on growth responses after thinning with  
709 respect to the appearance of epicormics, losses in vigour after heavy thinning, and the  
710 interactions of extreme climatic conditions and silvicultural measures. Furthermore in mixed  
711 stands with groups of species mixtures such guides cannot be applied.

712

### 713 *2. Thinning guidelines based on mean distance to neighbouring trees from the crop tree*

714 An interesting type of thinning guide has been proposed by Spiecker (1994) for cherry and by  
715 Armand (1995) for ash. A similar guide has also been developed by Hein and Spiecker  
716 (2008a) for sycamore. A simple rule of thumb, derived from the crown width-DBH  
717 relationship, assuming a crown cover of 70%, consists of a constant variable to be multiplied  
718 by stem diameter to yield the necessary thinning radius around the crop tree. For example,  
719 with a mean radial increment of 4 to 5 mm per year, the DBH of a crop tree of 30 cm should  
720 be multiplied by the constant 22. The result gives the required approximate distance (in cm)  
721 between the sycamore and its nearest competitor to reach or maintain a radial increment of 4  
722 to 5 mm. In this case, within a circle of 6.6 m radius, all competitors have to be removed to  
723 ensure the desired level of diameter growth of the crop tree. In this rule thinning frequency is  
724 a result of the time the crowns of the crop tree and its neighbours need to occupy the free  
725 space between crowns.

726

727 A guide of this kind suffers from the same drawbacks as the previous one. It also necessitates  
728 the selection of crop trees. In mixed stands containing sycamore, trees in the understorey are  
729 also present. Cutting them ignores the minor effects they might have on the growth of  
730 dominants. Furthermore it is unclear how such heavy crown thinnings affect the per hectare  
731 productivity of sycamore. In addition in mixed stands there may be species interactions by  
732 neighbouring trees of other species, which is an aspect not considered in guides of this kind.

733 This omission is serious, as sycamore is a species that usually occurs in mixed stands, but no  
734 proper guides are designed for this situation.

735

736 *3. Thinning guidelines based on preventing crown competition after a specified length of*  
737 *clear bole length has been achieved*

738 An alternative thinning guide can be based upon a two phases concept for growth control (for  
739 broadleaves in general: Spiecker, 1991; Wilhelm and Raffel, 1993; Wilhelm et al., 1999a, b,  
740 c; for sycamore: Hein and Spiecker, 2008a). The first phase encompasses the stand  
741 establishment period up to the time when the desired length of clear bole has been reached.

742 The silvicultural focus during this phase lies primarily with natural pruning (tending phase).

743 Few silvicultural interventions are needed except for maintaining the desired species-mix and

744 removing trees of poor quality if they compete with crop trees. Once the desired length of

745 clear bole has been achieved, crop trees are marked and the second phase begins. If self-

746 pruning is insufficient, artificial pruning (i.e. before branches reach 3cm diameter at the

747 collar) may be appropriate to obtain clear timber. This focuses all forest operations on

748 speeding diameter growth up to the time when final harvesting diameter is reached. No

749 further crown competition is necessary and crop trees are given a heavy selective thinning.

750 The diameter increment of the crop trees converges to its site-dependent maximum. This two-

751 phase system keeps branches small on the lower parts of the stem which has been sufficiently

752 cleaned of branches by self-pruning. The knotty core will then be small due to high branch

753 mortality during the first phase. Towards the crown the knotty core expands abruptly where

754 the first live branch is present.

755

756 Such guides require the application of crop tree silviculture. Even though it may be appealing

757 because it is simple to apply, the following questions remain:

- 758       • How do sycamores react in terms of epicormic production and vigour to a sudden  
759       transition between the first and the second phases?
- 760       • When released at the start of the second phase, how do they respond in terms of  
761       diameter growth after a long period of intense competition?

762   During the second phase, when trees are almost open grown the species mixture is expected to  
763   have a minor influence.

764

### 765   **Conclusions**

766   Although sycamore is an attractive species in forestry there is a lack of peer reviewed,  
767   scientifically-based investigations into its silviculture, preventing foresters from improving  
768   silvicultural strategies and add up information to everyday and local experience. Although  
769   there is some “grey literature” published in national non peer-reviewed journals, leaflets and  
770   brochures (e.g. Allegrini *et al.*, 1998), this does not compensate for valid scientific literature.  
771   Filling in gaps about the growth of sycamore could contribute to improved management of  
772   forests in Europe.

773

### 774   **Acknowledgements**

775   This review paper has been made possible through travel grants by COST Action E42  
776   “Growing Valuable Broadleaved Tree Species” ([www.valbro.com](http://www.valbro.com)). The authors thank Robin  
777   Hillestad M.Sc. and Ginamarie Lopez B.Sc. for repeatedly checking the language of the  
778   manuscript, and Isabelle Vinkler for comments on earlier drafts of the manuscript.

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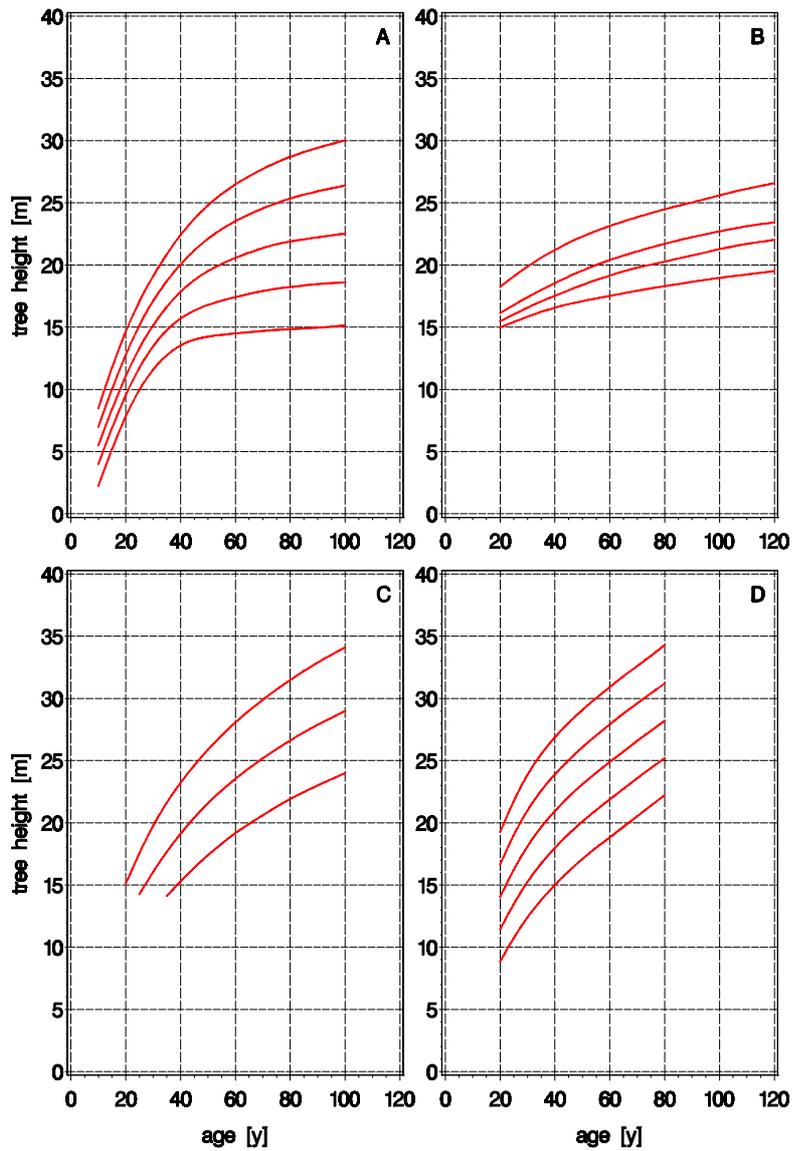
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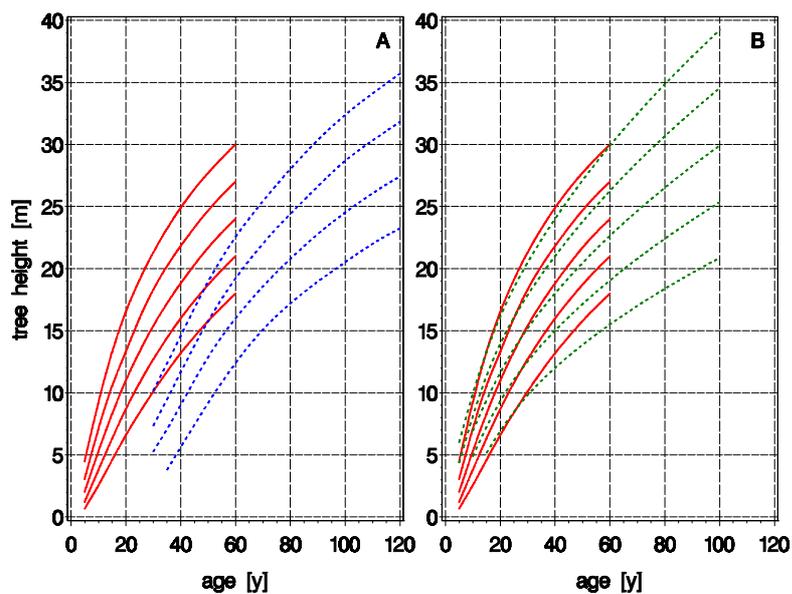
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1221 **Figures and figure captions**

1222 **Fig. 1.** A selection of height growth models for sycamore in Europe. (A): site classes I to V  
 1223 from Kjølby (1958) (Denmark), (B): site classes I to IV from Le Goff and Madesclaire (1985)  
 1224 (North-East France), (C): yield classes I to III from Nagel (1985) (Northern Germany), (D):  
 1225 site indexes 29 m, 26 m, ... – 17 m (base age = 50 years) from Claessens *et al.* (1999)  
 1226 (Belgium).



1227 **Fig. 2.** Height growth of sycamore (solid lines, Hein 2004) compared to *Fagus* (left figure A,  
 1228 dashed line, Schober, 1995, site classes I – IV, dominant height of the 20% largest trees,  
 1229 moderate thinning) and *Fraxinus* (right figure B, dashed line, Le Goff, 1982, dominant height,  
 1230 site index 24 m, 21 m, ... – 12 m (base age = 40 years)).